

A.

12/14/01

13/14/01

(Only for new nonprovisional applications under 37 CFR 1.53(b))

JOHN 20 U.S. PT

ADDRESS TO: **Box Patent Application**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

- ### ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations

4. ☐ Oath or Declaration [Total Pages \_\_\_\_\_]  
a. ☐ Newly executed (original)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*

11. ☐ Preliminary Amendment  
☐ Drawings [Total Sheets ]

12. ☒ Return Receipt Postcard (*specifically itemized*)

5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)

14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)

6. ☒ Application Data Sheet

15. ☐ CD in duplicate for large table or computer program

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
- ☐ Computer Readable Form (CRF)
- ☐ Paper Copy (identical to computer copy)
- ☐ CD (2 copies) (identical to computer copy)
- ☐ Statement verifying identity of above copies

16. ☐ Other:

17. ☐ If a **CONTINUING APPLICATION**: Amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , the  
entire disclosure of which is incorporated by reference herein.--

**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: \_\_\_\_\_. Group/Art Unit: \_\_\_\_\_

18. ☐ Priority - 35 U.S.C. 119


- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_/\_\_\_\_\_ on \_\_\_\_\_.  
☐ The certified copy will follow.

### CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100  
Customer No. 021323

### SIGNATURE BLOCK

Date: December 14, 2001  
Reg. No. 45,283  
Tel. No.: (617) 248-7793  
Fax No.: (617) 248-7100

Respectfully submitted,  
  
R. Stephen Rosenholm  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

## FY 2002

Complete if Known

|                           |                    |
|---------------------------|--------------------|
| Application Serial Number | Not Yet Assigned   |
| Filing Date               | December 14, 2001  |
| First Named Inventor      | Chu                |
| Group Art Unit            | Not Yet Assigned   |
| Examiner Name             | Not Yet Assigned   |
| Attorney Docket No.       | BSC-216 (1002/296) |

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

- ☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

## FEE CALCULATION

## 1. FILING FEE

## Large Entity

| Fee (\$) | Fee Description        | Fee Paid |
|----------|------------------------|----------|
| 740      | Utility filing fee     | 740.00   |
| 330      | Design filing fee      |          |
| 160      | Provisional filing fee |          |

|  | Number Filed | Number Extra | Rate | Amount |
|--|--------------|--------------|------|--------|
|--|--------------|--------------|------|--------|

Total Claims 19 - 20 = x \$ 18.00 =

Independent Claims 2 - 3 = x \$ 84.00 =

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 740.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 740.00

## 2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                            |          |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet         |          |
| 130                   | 130                   | Non-English specification                                      |          |
| 2,520                 | 2,520                 | Request for ex parte reexamination                             |          |
| 110                   | 55                    | Extension for reply within first month                         |          |
| 400                   | 200                   | Extension for reply within second month                        |          |
| 920                   | 460                   | Extension for reply within third month                         |          |
| 1440                  | 720                   | Extension for reply within fourth month                        |          |
| 1960                  | 980                   | Extension for reply within fifth month                         |          |
| 320                   | 160                   | Notice of Appeal   |          |
| 320                   | 160                   | Filing a brief in support of an appeal                         |          |
| 280                   | 140                   | Request for oral hearing                                       |          |
| 130                   | 130                   | Petitions to the Commissioner                                  |          |
| 180                   | 180                   | Submission of Information Disclosure Statement                 |          |
| 740                   | 370                   | Filing a submission after final rejection (37 CFR 1.129(a))    |          |
| 740                   | 370                   | For each additional invention to be examined (37 CFR 1.129(b)) |          |
| 100                   | 100                   | Certificate of Correction for applicant's error                |          |

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 0.00

SUBTOTAL (1) 740.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$) 740.00

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

Date: December 14, 2001  
 Reg. No.: 45,283  
 Tel. No.: (617) 248-7793  
 Fax No.: (617) 248-7100

R. Stephen Rosenholm  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110